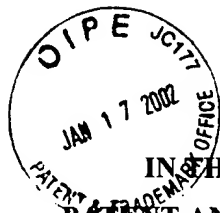


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PATENT



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

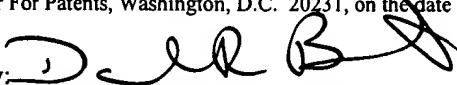
APPLICANT(S): Todd Stanley *et al.*
APPLICATION NO.: 09/935,205
FILING DATE: August 21, 2001
TITLE: AUTOMATED TAX RETURN WITH UNIVERSAL DATA IMPORT
EXAMINER: Not yet known
GROUP ART UNIT: Not yet known
ATTY. DKT. NO.: 16319-05400

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 13 NOV 2001

By:


Daniel R. Brownstone, Reg. No. 46,581

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated October 1, 2001, received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney;
- ☒ payment in the amount of \$1080.00 for the

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- ☒ application filing fee;
- ☒ fee for additional claims; and
- ☒ missing parts surcharge.

Respectfully submitted,
TODD STANLEY, DEVING W. BREISE, BRIAN
RIGGS, MATTHEW RICE and JEFFERY WEBER

Dated: 13 nov 2001

By: 

Daniel R. Brownstone, Reg. No.: 46,581
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2358
Fax.: (415) 281-1350

16319/05400/SF/5062177.1

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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



| | | | |
|---|--|------------------------|---------------------|
| 0001/PTO Rev. 10/95 | U.S. Department of Commerce Patent and Trademark Office | Application Number | 09/935,205 |
| TRANSMITTAL FORM <small>to be used for all correspondence during pendency of filed application)</small> | | Filing Date | August 21, 2001 |
| | | First Named Inventor | Todd Stanley et al. |
| | | Group Art Unit Number | Not yet known |
| | | Examiner Name | Not yet known |
| Total Number of Pages in This Submission | 11 | Attorney Docket Number | 16319-05400 |

ENCLOSURES (check all that apply)

| | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> Power of Attorney | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> |
| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> |
| <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final | <input type="checkbox"/> |
| <input type="checkbox"/> Small entity form | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Power of Attorney | <input type="checkbox"/> |

REMARKS:.

SIGNATURE OF ATTORNEY OR AGENT

| | | |
|--------------------|---|--------------------|
| Signature: | | |
| Attorney/Reg. No.: | Daniel R. Brownstone, Registration No. 46,581 | Dated: 13 Nov 2001 |

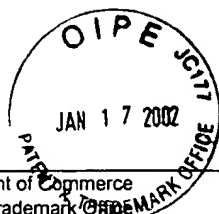
CERTIFICATE OF MAILING

| | | |
|--|----------------------|--------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Customer Service Center, Washington, D.C. 20231 on the date shown below | | |
| Signature: | | |
| Typed or Printed Name: | Daniel R. Brownstone | Dated: 13 Nov 2001 |

16319/05400/SF/5062195.1

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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2001

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$1080.00***

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/935,205 |
| Filing Date | August 21, 2001 |
| First Named Inventor | Todd Stanley |
| Group Art Unit | Not yet known |
| Examiner Name | Not yet known |
| Attorney Docket Number | 16319-05400 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---------------------------------|------------------------------|-----------------------|------------|
| 101/\$740 | 201/\$370 | Utility Filing | 710 |
| 106/\$330 | 206/\$165 | Design Filing | |
| 108/\$740 | 208/\$370 | Reissue | |
| 114/\$160 | 214/\$80 | Provisional Filing | |

SUBTOTAL (1) (\$710*

* Fee at the time of filing

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$84 | 202/\$42 | Independent claims in excess of 3 |
| 104/\$280 | 204/\$140 | Multiple dependent claim |
| 109/\$84 | 209/\$42 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|---|------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | 130 |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month [†] | |
| 116/\$400 | 216/\$200 | Extension for response within second month [†] | |
| 117/\$920 | 217/\$460 | Extension for response within third month [†] | |
| 118/\$1,440 | 218/\$720 | Extension for response within fourth month [†] | |
| 128/\$1,960 | 228/\$980 | Extension for response within fifth month [†] | |
| 119/\$320 | 219/\$160 | Notice of Appeal | |
| 141/\$1,280 | 241/\$640 | Petition to revive unintentionally abandoned application | |
| 142/\$1,280 | 242/\$640 | Utility Issue Fee (Or Reissue) | |
| 143/\$460 | 243/\$230 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$740 | 279/\$370 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | |
| 146/\$740 | 246/\$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$740 | 249/\$370 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$130.

| (Col. 1) | | (Col. 2) | | (Col. 3) | | | |
|--|------------------------------|---------------------------------------|---|----------|-----|------------|-------|
| For | No. of Existing Claims | Highest No. Previously Paid For | | Extra** | Fee | Fee Due | |
| TOTAL | 14 | 20 or 0 | = | 0 | x | = | |
| INDEP | 6 | 3 or 0 | = | 3 | x | 80* | = 240 |
| [] First presentation of multiple dependent claim | | | | | | | = |

* Fee at the time of filing

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$240*

SUBMITTED BY

Typed or Printed Name **Daniel R. Brownstone**

Signature

[Signature]

Complete (if applicable)

Reg. Number **46,581**

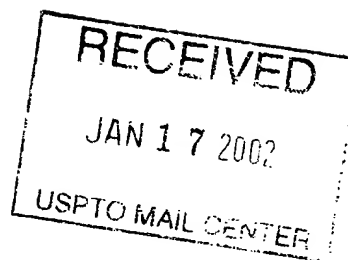
Date

13 Nov 2001

16319/05400/SF/5060361.1

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

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FENWICK & WEST LLP
275 BATTERY STREET
SAN FRANCISCO, CA 94111



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/935,205 | 08/21/2001 | Todd Stanley | 16319-05400 |

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 8766

FORMALITIES LETTER



OC000000006823230

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/28/2002 55ANDARA 00000044 192555 09935205
01 FC:101 740.00 00
02 FC:102 252.00 00
03 FC:105 88.00 00
42.00 CH

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